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# TRANSMITTAL FORM

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36 + 1 DISK

Application Number

09/927,788

Filing Date

August 9, 2001

First Named Inventor

Michael J. MAHAN

Group Art Unit

1645

Examiner Name

To Be Assigned

Attorney Docket No.

220002060724

## ENCLOSURES (check all that apply)

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|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form (1 page in duplicate)                    | <input type="checkbox"/> Assignment Papers (for an Application)  | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached   | <input checked="" type="checkbox"/> Drawing(s) (8 sheets substitute)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Preliminary Amendment (4 pages)                               | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition  | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declarations  | <input type="checkbox"/> Petition to Convert to a Provisional Application                                    | <input type="checkbox"/> Status Letter   |
| <input checked="" type="checkbox"/> Extension of Time Request (1 page)                            | <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address (3 pages) | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
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| <input type="checkbox"/> Information Disclosure Statement   | <input type="checkbox"/> Request for Refund  | Statement Under 37 CFR 3.73(b) (1 page)  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                                   | <input type="checkbox"/> CD, Number of CD(s) _____   | Copy of Assignment (2 pages)   |
| <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application             |  | Request for Corrected Filing Receipt (2 pages)   |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 (2 pages) |  | Copy of Marked Up Filing Receipt (2 pages)   |
|   |  | Change of Correspondence Address (2 pages)   |
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018
Signature	<i>Shantanu Basu</i>
Date	December 14, 2001

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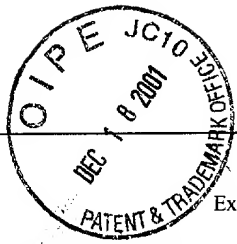
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*Tamara Alcaraz*  
Tamara Alcaraz

#6

PATENT  
Docket No. 220002060724



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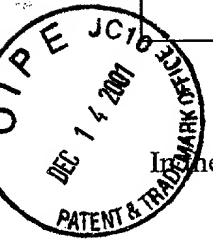
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*Tamara Alcaraz*

Tamara Alcaraz



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Michael J. MAHAN et al.

Serial No.: 09/927,788

Filing Date: August 9, 2001

For: BACTERIA WITH ALTERED DNA  
ADENINE METHYLASE (DAM) ACTIVITY  
AND HETEROLOGOUS EPITOPE

Examiner: To Be Assigned

Group Art Unit: 1645

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Dear Sir:

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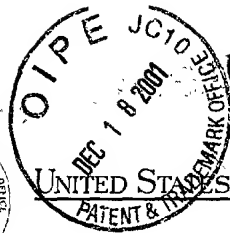
Catherine M. Polizzi  
Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, California 94304-1018  
Tel: (650) 813-5600  
Fax: (650) 494-0792

Dated: December 14, 2001

Respectfully submitted,

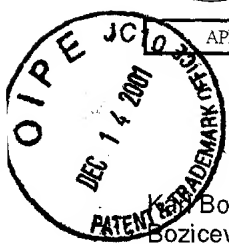
By:   
Shantanu Basu  
Registration No. 43,318  
Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, California 94304-1018  
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#5



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/927,788	08/09/2001	Michael J. Mahan	REME001

CONFIRMATION NO. 6768

FORMALITIES LETTER



\*OC000000006557641\*

K Bozicevic  
Bozicevic, Field and Francis LLP  
Suite 200  
200 Middlefield Road  
Menlo Park, CA 94025

Date Mailed 09/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$162.  
    ▪ \$162 for 9 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1002.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

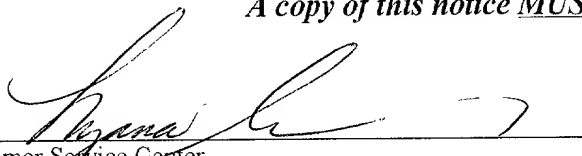
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825 Applicant's attention

is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)**

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

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